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TRANSIE Application Number 09/663,658 Substitute for form 1449A/PTO Filing Date 9/15/2000 INFORMATION DISCLOSURE First Named Inventor: Malcolm G. Smith, Sr. STATEMENT BY APPLICANT Examiner: April A. Nowlin **GROUP: 2876** (Use as many sheets as necessary) Sheet 14 of Attorney Docket Number ULT-005-2C1P **U.S. PATENT DOCUMENTS** Document Number **Publication Date** Name of Patentee or Examiner Cited Pages, Columns, Lines No. 1 Number - Kind Code 2(if known) MM-DD-YYYY Where Relevant Passages or Initials\* Applicant of Cited Document Figures Appear A001 US-1,756,547 04/1930 Hansen A002 US-3,677,843 7/17/1972 Reiss A003 2/1975 US-3,864,755 Hargis A004 US-4,058,839 11/1977 Darjany, John C. A005 US-4,076,125 2/28/1978 Ohsaki et al. A006 US-4,100,689 7/1978 Broune A007 US-4,104,682 8/1/1978 Lehner et al. FOREIGN PATENT DOCUMENTS Examiner Cite Publication Pages, Columns, Lines Initials\* No. Foreign Patent Document Date Name of Patentee or Where Relevant Applicant of Cited Tو MM-DD-Passages or Figures Cntry Code<sup>3</sup> Number<sup>4</sup> Kind Code<sup>5</sup> (if known) YYYY Document Appear A008 WO 9210485 12/1992 A009 Ъ 5/1998 10144391 Kanda OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) (One Page) Advertising Brochure, Iomega Clik! PC Card Drive (40 MB Disk) Iomega Corporation, 1999 Gooch et al. "A High Resolution Flying Magnetic Disk Recording System With Zero Reproduce Spacing Loss" Pages 4545-4554, IEEE Tranactions on Magnetis, Volume 27, No. 6, November 1991 One (1) Page Advertising Brochure, SysTECH, Wallet Size CDROM, Copyright 1999 Three (3) Pages / Gold CD Mini ROM (Picture), Back/Front Packaging for CD Mini ROM AOL, America On Line **EXAMINER SIGNATURE:** DATE CONSIDERED:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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C RANGE Application Number 09/663,658 Substitute for form 1449A/PTO Filing Date 9/15/2000 INFORMATION DISCLOSURE First Named Inventor: Malcolm G. Smith, Sr. STATEMENT BY APPLICANT Examiner: April A. Nowlin **GROUP: 2876** (Use as many sheets as necessary) Sheet 9 of 14 Attorney Docket Number ULT-005-2C1P **U.S. PATENT DOCUMENTS** Examiner Cited **Document Number Publication Date** Name of Patentee or Pages, Columns, Lines No. 1 Number - Kind Code 2(if known) Initials\* MM-DD-YYYY Applicant of Cited Document Where Relevant Passages or Figures Appear A106 US-5,789,733 8/4/1998 Jachimowicz et al. A107 US-5,801,368 9/1998 Hayashi et al. A108 US-5,825,393 10/20/1998 Kocznar et al. A109 US-5,828,053 Kinugasa 10/27/1998 A110 US-5,834,756 11/10/1998 Gutman et al. A111 US-5,843,565 12/1/1998 Davies et al. A112 US-5,844,218 12/1/1998 Kawan et al. A113 US-5,844,230 12/1/1998 Lalonde A114 US-5,851,688 12/22/1998 Chen et al. A115 US-5,852,289 · 12/22/1998 Masahiko A116 US-5,857,079 1/5/1999 Claus et al. A117 US-5,859,419 1/12/1999 Wynn A118 US-5,861,220 1/19/1999 Coughlin A119 US-5,862,174 1/19/1999 Yokota et al. FOREIGN PATENT DOCUMENTS Examiner Cite Publication Pages, Columns, Lines Initials\* No. 1 Foreign Patent Document Date Name of Patentee or Where Relevant Тб MM-DD-Applicant of Cited Passages or Figures Cntry Code<sup>3</sup> Number<sup>4</sup> Kind Code<sup>5</sup> (If known) YYYY Document Appear OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER SIGNATURE** DATE CONSIDERED:

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STRANE! Application Number 09/663,658 Substitute for form 1449A/PTO Filing Date 9/15/2000 INFORMATION DISCLOSURE First Named Inventor: Malcolm G. Smith, Sr. STATEMENT BY APPLICANT Examiner: April A. Nowlin GROUP: 2876 (Use as many sheets as necessary) Sheet 12 of 14 Attorney Docket Number ULT-005-2C1P U.S. PATENT DOCUMENTS Cited Document Number Examiner **Publication Date** Name of Patentee or Pages, Columns, Lines Number - Kind Code 2(if known) Initials\* No. 1 MM-DD-YYYY Applicant of Cited Document Where Relevant Passages or Figures Appear A145 US-6,311,893 11/2001 Liu et al. A146 US-6,378,037 4/2002 Hall A147 US-6,398,114 6/2002 Nishikawa et al. A148 US-D264,853 6/1982 Scavino et al. A149 US-D293,694 1/1988 Alden et al. A150 US-D305,887 2/1990 Nishimura A151 US-D328,457 8/1992 Matsuzaka A152 4/20/1999 US-D408,377 Ferchau et al. A153 US-D410,769 6/1999 **Dorizas** 5/2000 A154 US-D425,876 Maiers et al. A155 US-D429,733 8/2000 Jones et al. A156 US-D436,991 1/2001 Morgante A157 US-D447,146 8/2001 Myers A158 US-D448,776 10/2001 Weng et al. FOREIGN PATENT DOCUMENTS Examiner Cite Publication Pages, Columns, Lines Initials\* No. Foreign Patent Document Date Name of Patentee or Where Relevant T<sup>6</sup> MM-DD-Applicant of Cited Passages or Figures Cntry Code<sup>3</sup> Number<sup>4</sup> Kind Code<sup>5</sup> (If known) YYYY Document Appear A159 FR 2505523 12/11/1982 Widmer MJF OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Bhushan, Bharat, Tribology and Mechanics of Magnetic Storage Devices, New York: Springer-Verlag, pp. 599, 629-638 EXAMINER SIGNATURE: April Toulor DATE CONSIDERED: 2/22/04

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Application Number 09/663,658 Substitute for form 1449A/PTO Filing Date 9/15/2000 INFORMATION DISCLOSURE First Named Inventor: Malcolm G. Smith, Sr. STATEMENT BY APPLICANT Examiner: April A. Nowlin GROUP: 2876 (Use as many sheets as necessary) **Sheet** 13 of 14 Attorney Docket Number ULT-005-2C1P U.S. PATENT DOCUMENTS Examiner Cited **Document Number Publication Date** Name of Patentee or Pages, Columns, Lines Number - Kind Code 2(if known) Initials\* No. 1 MM-DD-YYYY Applicant of Cited Document Where Relevant Passages or Figures Appear A160 US-5.041.922 08/20/1991 Wood et al. A161 US-5,055,155 10/08/1991 Crotty et al. A162 US-5,101,097 03/31/1992 Conant A163 US-5,147,732 09/15/1992 Shiroishi et al. A164 US-5,180,640 01/19/1993 Yamashita et al. A165 US-5,191,198 03/02/1993 Do A166 US-5,204,513 04/20/1993 Steele A167 US-5,206,489 04/27/1993 Warwick A168 US-5,217,056 06/08/1993 Ritter A169 US-5,221,838 06/22/1993 Gutman et al. A170 US-5,254,843 10/19/1993 Hynes et al. A171 12/14/1993 US-5,270,523 Chang et al. A172 US-5,286,958 02/15/1994 Smeets FOREIGN PATENT DOCUMENTS Examiner Cite Publication Pages, Columns, Lines Initials\* No. 1 Foreign Patent Document Name of Patentee or Where Relevant Date Т6 MM-DD-Applicant of Cited Passages or Figures Cntry Code<sup>3</sup> Number<sup>4</sup> Kind Code<sup>5</sup> (if known) YYYY Document Appear

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EXAMINER SIGNATURE: April Taylor

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1	A173	US	-5,288,9	942		02/	22/1994	Goo	dfrey		
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